Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Baperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known **Application Number** Filing Date for FY 2005 First Named Inventor Effective 10/01/2004. Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit (\$) **I**

Attorney Docket No.

E10302

TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Order Order	Large I	Entity	Small	Entity		
Deposit Account.	Fee Code			Fee (\$)	Fee Description	Foo Boid
Account Number	1051	130	2051		Surcharge - late filing fee or oath	Fee Paid 65
Deposit	1052	50	2052		Surcharge - late provisional filing fee or	-
Account Name	4050	400	4050	400	cover sheet	
The Director is authorized to: (check all that apply)	1053	130 2.520	1053 1812		Non-English specification For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	_,	Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)	1004	320	1004	320	Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	430	2252	215	Extension for reply within second month	215
1. BASIC FILING FEE Large Entity Small Entity	1253	980	2253	490	Extension for reply within third month	
Fee Fee Fee Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 790 2001 395 Utility filing fee	1255	2.080	2255	1.040	Extension for reply within fifth month	
1003 250 2003 175 Design filing for	1401	340	2401	170	Notice of Appeal	
1000 550 0000 005 51 151 6	1402	340	2402		Filing a brief in support of an appeal	
1003 550 2003 275 Plant filing fee	1403	300	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee -0-	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	1452	110	2452		Petition to revive - unavoidable	· ·
30BIOTAL (1) (3) 5/7 -	1453	1,370	2453	685	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,370	2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	490	2502	245	Design issue fee	
Total Claims 54 -20** = 39 x 9 = 306 Independent	1503	660	2503	330	Plant issue fee	
Claims	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	. 1
1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 300 2203 150 Multiple dependent claim, if not paid	1810	790	2810	395	For each additional invention to be	
1204 88 2204 44 ** Reissue independent claims					examined (37 CFR 1.129(b))	
over original patent	1801	790	2801	395	,	igwdaps
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 350 °	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Red	iced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$) 2	80 🕮 📗

(Complete (if applicable)) SUBMITTED BY Registration No. Telephone 905. Name (Print/Type) Date Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/822,856

04/13/2004

James K. Jacobs

EV0302A

David B. Woycechowsky ELECTROVAYA, INC. 2645 Royal Windsor Drive Mississauga, ON L5J 1K9 **CANADA**

CONFIRMATION NO. 2661

FORMALITIES LETTER

OC00000013049210

Date Mailed: 06/24/2004

10/22/2004 RFEKADU1 00000090 10822856

03 FC:2202 04 FC:2201 306.00 OP

NOTICE TO F配配MISSING PARTS OF NONPROVISIONAL APPLICATION

10/22/2004 RFEKADU1 00000090 10822856

01 FC:2001 02 FC:2051 395.00 OP 65.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$349 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$799 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$349

- \$43 for 1 independent claims over 3.
- \$306 for 34 total claims over 20.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE